

PROCEEDINGS

OF THE

TENTH ANNUAL ACQUISITION RESEARCH SYMPOSIUM ACQUISITION MANAGEMENT

Measuring Dynamic Knowledge and Performance at the Tactical Edges of Organizations: Assessing Acquisition Workforce Quality

Mark E. Nissen
Naval Postgraduate School

Published April 1, 2013

Approved for public release; distribution is unlimited.

Prepared for the Naval Postgraduate School, Monterey, CA 93943.

Disclaimer: The views represented in this report are those of the authors and do not reflect the official policy position of the Navy, the Department of Defense, or the federal government.



including suggestions for reducing this burden, to Washi	ng the collection of information. Send comments regarding this burden estimat agton Headquarters Services, Directorate for Information Operations and Repo ithstanding any other provision of law, no person shall be subject to a penalty	rts, 1215 Jefferson Davis Highway, Suite 1204, Arlington		
1. REPORT DATE 01 APR 2013	2. REPORT TYPE	3. DATES COVERED 00-00-2013 to 00-00-2013		
4. TITLE AND SUBTITLE		5a. CONTRACT NUMBER		
e .	e and Performance at the Tactical Edges	5b. GRANT NUMBER		
of Organizations: Assessing Acquisition Workforce Quality		5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S)		5d. PROJECT NUMBER		
		5e. TASK NUMBER		
		5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Naval Postgraduate School, Monterey, CA, 93943		8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)		10. SPONSOR/MONITOR'S ACRONYM(S)		
		11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY STATE Approved for public release; di				

Report Documentation Page

14. ABSTRACT

13. SUPPLEMENTARY NOTES

The efficacy of defense acquisition is highly dependent on acquisition workforce (AWF) quality, but assessing such quality remains a major challenge, particularly given the knowledge-intensive and dynamic nature of acquisition organizations and processes. Hence it is difficult to gauge?much less predict?the impact of leadership interventions in terms of policy, process, regulation, organization, education, training, or like approaches. Building upon the development and application of Knowledge Flow Theory over the past couple of decades, we have developed a state-of-the-art approach that enables us to analyze visualize, and measure dynamic knowledge and performance. The main idea is to apply this approach inwardly to measure the dynamic knowledge and performance of acquisition processes (e.g., within contracting and project management organizations), but we also look outwardly (e.g., at warfare processes at the tactical edges of military combat organizations) to conceptualize an operational proxy for acquisition workforce quality: end customer performance. This proxy offers its best potential to complement, not replace, other metrics in use, development, and conceptualization today, but it arguably concentrates on one of the most important AWF quality determinants: how acquired systems affect operational performance.

a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified	ABSTRACT Same as Report (SAR)	OF PAGES 29	RESPONSIBLE PERSON
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF	18. NUMBER	19a. NAME OF
15. SUBJECT TERMS					

Form Approved

OMB No. 0704-0188

The research presented in this report was supported by the Acquisition Research Program of the Graduate School of Business & Public Policy at the Naval Postgraduate School. To request defense acquisition research, to become a research sponsor, or to print additional copies of reports, please contact any of the staff listed on the Acquisition Research Program website (www.acquisitionresearch.net). ACQUISITION RESEARCH PROGRAM

GRADUATE SCHOOL OF BUSINESS & PUBLIC POLICY

NAVAL POSTGRADUATE SCHOOL

Preface & Acknowledgements

Welcome to our Tenth Annual Acquisition Research Symposium! We regret that this year it will be a "paper only" event. The double whammy of sequestration and a continuing resolution, with the attendant restrictions on travel and conferences, created too much uncertainty to properly stage the event. We will miss the dialogue with our acquisition colleagues and the opportunity for all our researchers to present their work. However, we intend to simulate the symposium as best we can, and these *Proceedings* present an opportunity for the papers to be published just as if they had been delivered. In any case, we will have a rich store of papers to draw from for next year's event scheduled for May 14–15, 2014!

Despite these temporary setbacks, our Acquisition Research Program (ARP) here at the Naval Postgraduate School (NPS) continues at a normal pace. Since the ARP's founding in 2003, over 1,200 original research reports have been added to the acquisition body of knowledge. We continue to add to that library, located online at www.acquisitionresearch.net, at a rate of roughly 140 reports per year. This activity has engaged researchers at over 70 universities and other institutions, greatly enhancing the diversity of thought brought to bear on the business activities of the DoD.

We generate this level of activity in three ways. First, we solicit research topics from academia and other institutions through an annual Broad Agency Announcement, sponsored by the USD(AT&L). Second, we issue an annual internal call for proposals to seek NPS faculty research supporting the interests of our program sponsors. Finally, we serve as a "broker" to market specific research topics identified by our sponsors to NPS graduate students. This three-pronged approach provides for a rich and broad diversity of scholarly rigor mixed with a good blend of practitioner experience in the field of acquisition. We are grateful to those of you who have contributed to our research program in the past and encourage your future participation.

Unfortunately, what will be missing this year is the active participation and networking that has been the hallmark of previous symposia. By purposely limiting attendance to 350 people, we encourage just that. This forum remains unique in its effort to bring scholars and practitioners together around acquisition research that is both relevant in application and rigorous in method. It provides the opportunity to interact with many top DoD acquisition officials and acquisition researchers. We encourage dialogue both in the formal panel sessions and in the many opportunities we make available at meals, breaks, and the day-ending socials. Many of our researchers use these occasions to establish new teaming arrangements for future research work. Despite the fact that we will not be gathered together to reap the above-listed benefits, the ARP will endeavor to stimulate this dialogue through various means throughout the year as we interact with our researchers and DoD officials.

Affordability remains a major focus in the DoD acquisition world and will no doubt get even more attention as the sequestration outcomes unfold. It is a central tenet of the DoD's Better Buying Power initiatives, which continue to evolve as the DoD finds which of them work and which do not. This suggests that research with a focus on affordability will be of great interest to the DoD leadership in the year to come. Whether you're a practitioner or scholar, we invite you to participate in that research.

We gratefully acknowledge the ongoing support and leadership of our sponsors, whose foresight and vision have assured the continuing success of the ARP:



- Office of the Under Secretary of Defense (Acquisition, Technology, & Logistics)
- Director, Acquisition Career Management, ASN (RD&A)
- Program Executive Officer, SHIPS
- Commander, Naval Sea Systems Command
- Program Executive Officer, Integrated Warfare Systems
- Army Contracting Command, U.S. Army Materiel Command
- Office of the Assistant Secretary of the Air Force (Acquisition)
- Office of the Assistant Secretary of the Army (Acquisition, Logistics, & Technology)
- Deputy Director, Acquisition Career Management, U.S. Army
- Office of Procurement and Assistance Management Headquarters, Department of Energy
- Director, Defense Security Cooperation Agency
- Deputy Assistant Secretary of the Navy, Research, Development, Test, & Evaluation
- Program Executive Officer, Tactical Aircraft
- Director, Office of Small Business Programs, Department of the Navy
- Director, Office of Acquisition Resources and Analysis (ARA)
- Deputy Assistant Secretary of the Navy, Acquisition & Procurement
- Director of Open Architecture, DASN (RDT&E)
- Program Executive Officer, Littoral Combat Ships

James B. Greene Jr. Rear Admiral, U.S. Navy (Ret.) Keith F. Snider, PhD Associate Professor



Acquisition Management

Naval Ship Maintenance: An Analysis of the Dutch Shipbuilding Industry Using the Knowledge Value Added, Systems Dynamics, and Integrated Risk Management Methodologies

David N. Ford, Thomas J. Housel, and Johnathan C. Mun *Naval Postgraduate School*

Time as an Independent Variable: A Tool to Drive Cost Out of and Efficiency Into Major Acquisition Programs

J. David Patterson
National Defense Business Institute, University of Tennessee

The Impact of Globalization on the U.S. Defense Industry

Jacques S. Gansler and William Lucyshyn *University of Maryland*

Bottleneck Analysis on the DoD Pre-Milestone B Acquisition Processes

Danielle Worger and Teresa Wu, *Arizona State University*Eugene Rex Jalao, *Arizona State University and University of the Philippines*Christopher Auger, Lars Baldus, Brian Yoshimoto, J. Robert Wirthlin, and John Colombi, *The Air Force Institute of Technology*

Software Acquisition Patterns of Failure and How to Recognize Them

Lisa Brownsword, Cecilia Albert, Patrick Place, and David Carney Carnegie Mellon University

Fewer Mistakes on the First Day: Architectural Strategies and Their Impacts on Acquisition Outcomes

Linda McCabe and Anthony Wicht

Massachusetts Institute of Technology

The Joint Program Dilemma: Analyzing the Pervasive Role That Social Dilemmas Play in Undermining Acquisition Success

Andrew P. Moore, William E. Novak, Julie B. Cohen, Jay D. Marchetti, and Matthew L. Collins

Software Engineering Institute, Carnegie Mellon University

Acquisition Risks in a World of Joint Capabilities: A Study of Interdependency Complexity



Mary Maureen Brown
University of North Carolina Charlotte

Leveraging Structural Characteristics of Interdependent Networks to Model Non-Linear Cascading Risks

Anita Raja, Mohammad Rashedul Hasan, and Shalini Rajanna University of North Carolina at Charlotte Ansaf Salleb-Aoussi, Columbia University, Center for Computational Learning Systems

Lexical Link Analysis Application: Improving Web Service to Acquisition Visibility Portal

Ying Zhao, Shelley Gallup, and Douglas MacKinnon Naval Postgraduate School

Capturing Creative Program Management Best Practices

Brandon Keller and J. Robert Wirthlin Air Force Institute of Technology

The RITE Approach to Agile Acquisition

Timothy Boyce, Iva Sherman, and Nicholas Roussel Space and Naval Warfare Systems Center Pacific

Challenge-Based Acquisition: Stimulating Innovative Solutions Faster and Cheaper by Asking the Right Questions

Richard Weatherly, Virginia Wydler, Matthew D. Way, Scott Anderson, and Michael Arendt *MITRE Corporation*

Defense Acquisition and the Case of the Joint Capabilities Technology Demonstration Office: Ad Hoc Problem Solving as a Mechanism for Adaptive Change

Kathryn Aten and John T. Dillard Naval Postgraduate School

A Comparative Assessment of the Navy's Future Naval Capabilities (FNC) Process and Joint Staff Capability Gap Assessment Process as Related to Pacific Command's (PACOM) Integrated Priority List Submission

Jaime Frittman, Sibel McGee, and John Yuhas, *Analytic Services, Inc.* Ansaf Salleb-Aoussi, *Columbia University*

Enabling Design for Affordability: An Epoch-Era Analysis Approach

Michael A. Schaffner, Marcus Wu Shihong, Adam M. Ross, and Donna H. Rhodes Massachusetts Institute of Technology



Measuring Dynamic Knowledge and Performance at the Tactical Edges of Organizations: Assessing Acquisition Workforce Quality

Mark E. Nissen
Naval Postgraduate School

Outcome-Focused Market Intelligence: Extracting Better Value and Effectiveness From Strategic Sourcing

Timothy G. Hawkins, Naval Postgraduate School

Michael E. Knipper, 771 Enterprise Sourcing Squadron USAF

Timothy S. Reed, Beyond Optimal Strategic Solutions



Measuring Dynamic Knowledge and Performance at the Tactical Edges of Organizations: Assessing Acquisition Workforce Quality

Mark E. Nissen—Nissen is a professor of information science and management at the Naval Postgraduate School. His research focuses on dynamic knowing and organizing. He views work, technology, organization, and people as an integrated design problem, and he has concentrated for some time on the dynamics of tacit and explicit knowledge flows, looking in particular at (re)designing organizations that balance stability with maneuverability. Nissen's 150+ publications span information systems, project management, organization studies, knowledge management, and related fields. Before his doctoral work at the University of Southern California, he acquired over a dozen years' management experience in the aerospace and electronics industries. [mnissen@nps.edu]

Abstract

The efficacy of defense acquisition is highly dependent on acquisition workforce (AWF) quality, but assessing such quality remains a major challenge, particularly given the knowledge-intensive and dynamic nature of acquisition organizations and processes. Hence, it is difficult to gauge—much less predict—the impact of leadership interventions in terms of policy, process, regulation, organization, education, training, or like approaches. Building upon the development and application of Knowledge Flow Theory over the past couple of decades, we have developed a state-of-the-art approach that enables us to analyze. visualize, and measure dynamic knowledge and performance. The main idea is to apply this approach inwardly to measure the dynamic knowledge and performance of acquisition processes (e.g., within contracting and project management organizations), but we also look outwardly (e.g., at warfare processes at the tactical edges of military combat organizations) to conceptualize an operational proxy for acquisition workforce quality: end customer performance. This proxy offers its best potential to complement, not replace, other metrics in use, development, and conceptualization today, but it arguably concentrates on one of the most important AWF quality determinants: how acquired systems affect operational performance.

Introduction

Acquisition is big business. The DoD alone routinely executes 12-figure budgets for research, development, procurement, and support of weapon systems and other military products and services (Dillard & Nissen, 2005). Acquisition is also a knowledge-intensive business. In addition to myriad laws governing federal acquisition in the U.S., a plethora of rules and regulations specify—often in great detail—how to accomplish the planning, review, execution, and oversight of defense acquisition programs, large and small, sole-source and competitive, military and commercial (Dillard, 2003).

As a result in part—and due to high complexity, multiple stakeholders, goal incongruence, open process execution, and large pecuniary rewards for some participants—acquisition has been a problematic business too. Seemingly every decade, acquisition problems must be addressed by another Blue Ribbon panel and reformed yet again. The Better Buying Power Initiatives (BBPI), as a recent instance, mandate efficiency and productivity improvements in five acquisition business areas: (1) affordability and cost growth, (2) productivity and innovation in industry, (3) competition, (4) tradecraft in services acquisition, and (5) non-productive processes and bureaucracy (Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics [OUSD(AT&L)], 2010). These initiatives focus principally on incentives for and interactions with contractors. The Defense Acquisition Workforce Improvement Act (DAWIA), as another instance, was signed

into law in 1990 and emphasizes the education, training, and certification of people in the acquisition workforce (AWF). Of course, the two leadership interventions are related: people in the AWF need to know how to effect the kinds of efficiency and productivity improvements mandated via the BBPI.

These characteristics of acquisition emphasize the criticality of quality in the AWF itself: With so much at stake, and in such a knowledge-intensive environment, a high-quality workforce is essential to competent and professional acquisition performance.

These characteristics also elucidate the central role played by people and organizations in the AWF. People must be knowledgeable and work effectively—both in terms of their own professional acquisition activities and with many others in acquisition and customer organizations—in order to accomplish key objectives and ensure timely, affordable, and responsive delivery of products and services to fighting and support units, at home and abroad. Indeed, we understand well how the efficacy of defense acquisition is inextricably dependent on workforce quality. Hence, leadership interventions along these lines appear to be highly appropriate and on target.

Assessing the impact of interventions such as these is a challenge, however (Assistant Secretary of the Navy for Research, Development, and Acquisition [ASN(RDA)], 2011a, 2011b). It is unclear whether the relatively recent BBPI, for instance, has had sufficient time to produce measurable impact. Even after two decades of the DAWIA, as another instance, efficacy remains challenging to assess, for many extant measures (e.g., number of Defense Acquisition University graduates, procurement lead times, program cost growth) fail to account for critical aspects of the AWF and important impacts on acquisition performance. Indeed, it is difficult to gauge—much less predict—the impact of any leadership interventions along these lines (e.g., how much better the AWF has become, or even if it is improving over time). Hence, the impact of any particular leadership intervention is left largely to anecdote and optimism. To help trim acquisition budgets and guide leadership, an improvement in assessing leadership initiatives and interventions is needed.

Because acquisition is a knowledge-intensive endeavor (Snider & Nissen, 2003), the knowledge stocks of people comprising the AWF represent likely indicators of quality (e.g., education levels, training courses, years of experience, certification levels). However, such indicators are relatively static, pertaining to levels of knowledge that change comparatively slowly (Nissen, 2006a). In contrast, acquisition laws, rules, and regulations are revised frequently, and acquisition knowledge can change abruptly and render obsolete even huge stocks over time. Indeed, this dynamic acquisition environment requires members of the AWF to sustain career-long learning and knowledge development just to remain proficient as acquisition professionals. Thus, as indicators of AWF quality, static knowledge stocks appear to be out of phase with the highly dynamic nature of the acquisition environment.

Moreover, acquisition organizations experience persistent flux (Snider & Nissen, 2003). We understand well that no two acquisition projects, programs, organizations, customers, or requirements are completely alike. Hence, even well-educated and well-trained people, with appropriate certification levels and years or decades of acquisition experience, must continually learn afresh and expand their knowledge further with each new assignment. Likewise, it is clear that most acquisition organizations form and reform with new people (e.g., via personnel transfer, turnover, retirement, promotion) continuously and that end customer needs shift perennially (especially at the tactical edges of warfare organizations). Due to such discontinuous membership (Ibrahim & Nissen, 2007), even these educated, trained, certified, and experienced people must learn repeatedly to trust and work effectively with many others—each time someone new joins or leaves a particular

acquisition organization, and each time a novel product, service, or customer is involved. Thus, dynamic knowledge also appears to be an important AWF quality indicator.

Further, the pace of change in both information technologies and military operations causes this importance of dynamic knowledge to apply in particular where information systems (IS) are acquired to support people at the tactical edges of warfare organizations. Not only must acquisition personnel be competent in their professions—including the acquisition and maintenance of new acquisition knowledge and skills—and continually learn afresh amidst constant organizational flux, but they must also keep pace with incessant technological change and satisfy customers' dynamic needs. Even highly competent professionals executing internal acquisition processes perfectly can fail to satisfy end customers' materiel or service needs. This presents a huge challenge in terms of assessing AWF quality.

Building on the development and application of Knowledge Flow Theory (KFT) over the past couple of decades (see Nissen, 2006b), we have developed a state-of-the-art approach that enables us to analyze, visualize, and measure dynamic knowledge and performance. This measurement-based approach offers potential to overcome the limitations of static measures, as previously summarized, by focusing inwardly on the dynamics of knowledge important to professional and effective acquisition performance. The main idea is to measure the dynamic knowledge and performance of acquisition processes (e.g., within contracting and project management organizations). This would represent a substantial step forward in terms of acquisition research.

Further, leveraging complementary research in command and control (C2; Nissen & Gallup, 2012), we see potential to use this same measurement-based approach to also look outwardly at the dynamics of knowledge important to professional and effective warfare performance. Although the specific kinds of knowledge required for effective warfare will clearly differ from those essential for proficient acquisition, the approach is similar. The main idea is to measure the dynamic knowledge and performance of warfare processes (e.g., at the tactical edges of military combat organizations). This would represent a substantial step forward in terms of C2 research.

Moreover, we seek to link these inward and outward focusing approaches to conceptualize an operational proxy for AWF quality: *end customer performance*. In addition to measuring the dynamic knowledge and performance of key people and organizations associated with IS acquisition, for instance, we wish to assess AWF quality by also measuring the dynamic knowledge and performance of primary beneficiaries of such systems acquisition: end customers operating at the tactical edges of warfare organizations. This proxy offers its best potential to complement, not replace, other metrics in conceptualization, development, and use today, but it arguably concentrates on one of the most important AWF quality determinants: how acquired systems affect operational performance. Two fundamental research questions follow accordingly:

- 1. How can dynamic knowledge and performance metrics be applied to assess acquisition workforce quality?
- 2. How can dynamic knowledge and performance metrics be extended to the tactical edges of warfare organizations?

Building heavily on the exploratory study reported by Nissen (2012b), we summarize fast-changing IS acquisition from the perspective of warfare at the tactical edge, and we discuss dynamic knowledge and performance measures to both complement and contrast with extant, engineering-oriented metrics used to specify and assess most acquired systems



today. We begin with a summary of KFT and measurement and then follow with the research method guiding the study. Results follow and suggest considerable promise, particularly where acquisition personnel and organizations can learn and track how changing system characteristics correspond with operational performance at the tactical edges of warfare organizations over time.

Background

The dynamic nature of knowledge indicates that both stocks and flows are important (Dierickx & Cool, 1989). Knowledge stocks have been comparatively straightforward to measure historically; metrics pertaining to education levels, training courses, years of experience, certifications, and like knowledge-oriented factors are employed broadly. Alternatively, knowledge flows have been comparatively much more difficult to assess; metrics pertaining to dynamic knowledge—particularly at the group and organization levels—are more elusive. The development and application of KFT (see Nissen, 2006b) over the past couple of decades has augmented the set of tools and techniques available to analyze, visualize, and measure dynamic knowledge and performance in the organization.

KFT is founded on a set of 30 principles that characterize dynamic knowledge. Such principles are actionable and empirical, and they support the diagnosis of workflow and knowledge-flow process pathologies, visualization of improvement interventions, and measurement of dynamic knowledge and performance gains (Nissen, 2006a). Dynamic knowledge is delineated via five-dimensional (5D) vector space. Knowledge-flow vectors carry measurements and elucidate diagnostic inferences pertaining to the people, processes, and organizations associated with knowledge work. Figure 1 illustrates the idea.

Briefly, the vertical axis, "Explicitness," characterizes the nature of knowledge along a tacit-explicit continuum. Tacit knowledge implies understanding and know-how/why, and it is associated most closely with the experiences of people (e.g., stemming from job assignments, mentoring, and teamwork) and routines of organizations (including culture, process, and ritual). Explicit knowledge implies awareness and know-who/what/where/when, and it is associated most closely with artifacts (e.g., documents, formulae, software). Generally, the more tacit the knowledge, the greater its appropriability and potential impact on positive performance becomes (Saviotti, 1998). One can measure knowledge explicitness using ordinal, interval, or ratio scales.

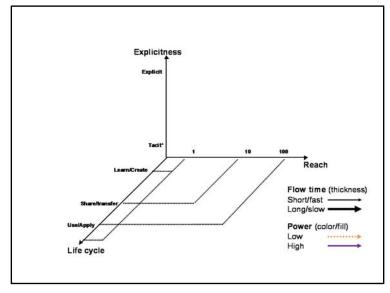
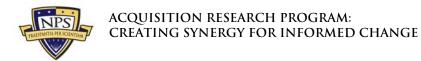


Figure 1. 5D Knowledge Flow Diagram



The horizontal axis, "Reach," characterizes how broadly knowledge is known and shared in an organization. Here we operationalize reach in terms of the number of people in an organization who have access to and can employ any particular chunk of knowledge, but we could view reach in terms of organizational levels instead (e.g., individual, group, organization, interorganization). Generally, the broader the reach of knowledge, the greater its amplification and potential impact on positive performance becomes (Nonaka, 1994). Measurements can be made using ordinal, interval, or ratio scales.

The axis "Life cycle" characterizes what is being done with a particular chunk of knowledge at some specific point in time. Here we include three activities: (1) some individual in the organization learns or creates new knowledge; (2) he or she shares existing knowledge with or transfers it to other people in the organization; and (3) one or more people in the organization use or apply existing knowledge to accomplish work. Generally, knowledge does not become useful until it is used or applied (Pfeffer & Sutton, 1999). Measurements can be made using categorical or ordinal scales.

Because visualization beyond three dimensions is difficult, we represent the dimension "Flow time" in terms of the thickness of lines used to delineate vectors. As shown in the key to the right of Figure 1, relatively thin lines are used to delineate short and fast knowledge flows, whereas comparatively thick lines represent knowledge that takes a long time and flows slowly. Generally, the more quickly that knowledge flows (e.g., across people, organizations, places, times), the greater its potential impact on positive performance becomes (Nissen, 2002). Measurements can be made using ordinal, interval, or ratio scales.

The dimension "Power" is represented similarly in terms of line style used to delineate knowledge-flow vectors. Knowledge that flows with relatively low power—this corresponds with relatively low performance levels of organizational activities enabled by the knowledge—is delineated through orange, dotted lines, whereas knowledge flows exhibiting high power—and hence enabling high performance—are delineated via purple, solid lines. Measurements can be made using ordinal, interval, or ratio scales.

Integrating these five dimensions graphically and analytically generates a 5D vector space to examine dynamic knowledge. Such 5D space and examination schemes are completely general: they can be applied to any dynamic knowledge in any organizational domain (e.g., acquisition, C2, software engineering).

As an example of use and application, consider Figure 2, which illustrates an important knowledge flow desired by the organization. Point A represents one individual in the organization who learns something new (to that organization) or creates entirely new knowledge. In terms of the 5D space, this represents tacit knowledge that is created by an individual (i.e., one person); hence, its position at the bottom-back corner of the diagram.

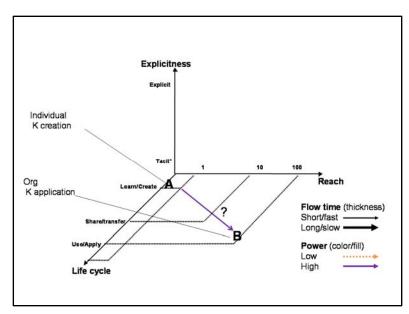


Figure 2. Knowledge Creation and Application Needs

In the acquisition domain, for instance, consider that such new knowledge could pertain to a technique for reducing the acquisition time for an important IS needed in the field. Because information technology (IT) advances so quickly—outpacing the ability of many acquisition organizations to develop and field systems responsively—the organization views this new knowledge created at Point A as important, and it would like to see such knowledge shared with and applied by all 100 people in that organization who work with IT.

Such application by 100 people in the organization is represented by Point B. The thin, purple, solid vector connecting Points A and B represents the desired knowledge flow: the organization wishes for such knowledge to flow quickly and with high power (e.g., enabling all 100 people at Point B to work, within one day, at the same performance level as the innovative individual at Point A). This represents a 5D knowledge flow vector. A question mark in the figure next to the vector indicates that such a fast, powerful knowledge flow is desired by the organization, but it is unclear which, if any, organizational process can enable it.

This leads to Figure 3, which depicts a ridge, or obstruction, that prevents knowledge from flowing quickly and powerfully from Points A to B as desired by the organization. Practically, the organization lacks a process for such quick and powerful knowledge to flow directly as delineated in Figure 2. Indeed, most organizations do lack such a process (Nissen, 2006a). Some other approach to sharing and applying the important IT acquisition knowledge is required.

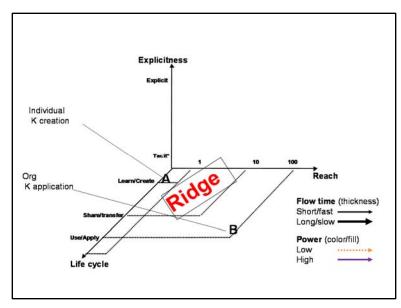


Figure 3. Knowledge Flow Obstruction

Figure 4 delineates two alternate, archetypical knowledge flows corresponding to processes that are within this organization's capabilities. (We say *archetypical* because most organizations employ these classic processes routinely, and because they present a vivid contrast in terms of how dynamic knowledge flows.) One knowledge flow is depicted in terms of a relatively fast (i.e., thin lines) but low-power (i.e., orange, dotted lines) vector series; this first flow is associated with explicit knowledge and utilizes one or more IS for knowledge articulation and distribution in explicit form. The other is delineated via a comparatively slow (i.e., thick lines) but high-power (i.e., purple, solid lines) vector; this second flow is associated with tacit knowledge and utilizes one or more human-centered approaches to knowledge sharing (e.g., group interaction, mentoring, personnel transfer).

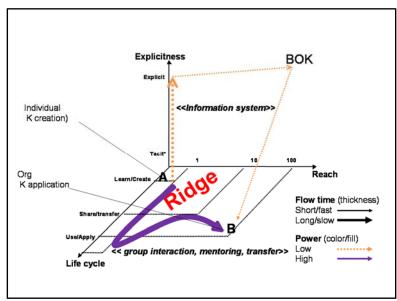


Figure 4. Alternate Archetypical Knowledge Flows

In some greater detail, the first knowledge flow consists of three vectors. The first vector is represented by a vertical line arising from Point A. This vector depicts the individual



at Point A articulating his or her new, tacit knowledge via an IS so that it can be shared electronically. Such articulation (e.g., consider writing a procedure, developing a training course, posting to an intranet or social networking site) tends to be somewhat time-consuming, hence the relatively thick line. Articulating knowledge in explicit form also tends to dilute the knowledge in terms of power. Reading a book, for instance, about how to accomplish important acquisition tasks (e.g., contract negotiation, risk assessment, balancing program cost and schedule with performance) is not the same as having direct personal experience accomplishing those tasks, hence the orange, dotted line.

Once articulated in explicit form, however—particularly via IS—the knowledge can be shared very broadly (e.g., organization-wide) and very quickly (e.g., within seconds), albeit with diluted power, hence the thin, orange, dotted line at the top of the diagram. Indeed, one could consider this broad and fast flow as additive to the organization's express acquisition body of knowledge (BOK), which we note at the top-right of Figure 4. Such an explicit BOK can then be accessed quickly and applied in turn by all 100 people in the organization. This articulated, explicit knowledge remains relatively diluted and less powerful, nonetheless, so application at Point B would not support the same performance level as at Point A, hence the thin, orange, dotted line descending down to Point B.

Alternatively, the second knowledge flow consists of a single vector, although it curves and bends through the tacit knowledge plane at the bottom of Figure 4. This vector depicts the individual at Point A applying his or her new, tacit knowledge and then sharing it with some number of other people (say, 10 people, as illustrated in Figure 4) through one or more techniques, such as extended group interaction, mentoring, or personnel transfer to work directly with different coworkers across the organization.

Once each of these 10 people has learned the new, tacit knowledge, then all of them can continue the process and share it using similar techniques (e.g., group interaction, mentoring, or personnel transfer) with others. Through such a process, 100 people (i.e., 10 people each sharing with another 10 people) can learn this new, tacit knowledge to the extent necessary for powerful application at Point B. This knowledge flow is depicted by a thick vector to indicate that it occurs comparatively slowly, but such vector is also delineated by a purple, solid line to show that the corresponding knowledge has high power and enables knowledge-based action at the same performance level as the individual who created it at Point A.

The key is that one can measure these five dimensions of knowledge—whether via explicit or tacit flows—and relate them to the corresponding knowledge-based process performance by people in the organization. Indeed, by correlating such dynamic knowledge measures with performance metrics, one can develop a model capable of analyzing, visualizing, and even predicting process performance based on knowledge flow patterns.

Of course, many diverse combinations of these archetypical knowledge flows are possible too, yet most knowledge flows are likely to reflect some aspects of these two dynamic patterns (Nissen, 2006b). Through empirical analysis and calibration of specific knowledge flowing through any particular organization in the field, one can correlate 5D dynamic knowledge flows with work performance, resulting in a model capable of measurement and prediction. Through this technique, we are working to assess AWF quality in terms of dynamic knowledge flows.

Research Method

The first research question, articulated previously, includes a "how" interrogative and suggests that a qualitative method may be most appropriate to investigate it (Yin, 1994). Despite the generality of KFT and the 5D space described in the previous section, applying



the corresponding analytic, visualization, and measurement techniques to assess AWF quality requires acquisition domain knowledge in general and process-specific understanding in particular. We need to study one or more specific acquisition processes in detail in order to apply the techniques and assess workforce quality. The case study method is highly appropriate for an investigation along these lines (Benbasat, Goldstein, & Mead, 1987; Eisenhardt & Graebner, 2007; Yin, 1994), and we conduct just such a case study in parallel with the investigation reported here.

The second research question, stated previously, also involves a "how" interrogative, and it likewise suggests a qualitative method. However, this second question calls for an extension of dynamic knowledge and performance measurement out to the tactical edges of warfare organizations and hence is much more exploratory from an acquisition perspective. Because we seek an operational proxy for AWF quality, we investigate dynamic knowledge and performance through explicit examination of three warfare organizations and processes that are far removed from core acquisition.

One organization operates within a U.S. Navy fleet and has units deploying rhythmically to war zones and other areas overseas. A second organization operates within a Navy systems command but concentrates on ensuring the readiness of this same fleet. The third organization permeates functionally throughout naval operations and is responsible for information dominance. By interacting with knowledgeable representatives from each of these three organizations—and it is very important to note that these are warriors and other operational personnel, not acquisition professionals—we gain considerable insight into the key knowledge dynamics associated with warfare at the tactical edges.

Further, by triangulating between these three organizations, we identify a critical, knowledge-intensive process that can be represented with sufficient fidelity and granularity to suggest feasible application of our dynamic knowledge and performance measures. The process has the somewhat unwieldy name *Tasking, Collection, Processing, Exploitation, and Dissemination*, to which we refer simply by its acronym TCPED. In the results that follow, we delineate the TCPED process and seek to apply our dynamic knowledge and performance measures to it. We then attempt to interpret such application and to elucidate insight into assessing AWF quality via proxy.

Results

Results from this exploratory investigation center on delineating the TCPED process, elaborating an insightful subprocess in detail, and applying our dynamic knowledge and performance measures to it. We discuss these in turn and then focus on elucidating insight into AWF quality.

TCPED

TCPED does not represent a new operational process per se, but with the U.S. Navy's relatively recent creation of its Information Dominance Corps, it has attracted considerable attention as a critical complement to the find, fix, target, and track (F2T2) process associated broadly with combat operations. The key F2T2 issue remains "knowledge—finding the targets" (Keeter, 2004), and as a knowledge-intensive process, TCPED addresses this issue directly, and hence represents a promising target of study.

Given the knowledge-intensive nature of TCPED, its execution is enabled fundamentally by IT, and IS are acquired routinely with the goal of enhancing warfare efficacy. This nature provides an excellent link back to our fundamental research question and interest in the AWF. From the operational perspective of TCPED participants at the



tactical edges of organizations, IS acquired and fielded to enhance warfare efficacy should accomplish just that: enhance warfare efficacy. Further, such efficacy enhancement should be measurable.

The problem is, it is difficult to understand—much less measure—how well any particular warfare process is working, which of many different organizational arrangements are best across diverse missions, or how well various IS enhance or impede the process. Indeed, when seeking to acquire new IT and like technologies to enhance warfare efficacy, system implementation can make the operational processes worse in the battle space, and it is increasingly common for different acquired systems to fail in terms of interoperating (Nissen & Gallup, 2012).

Indeed, modern warfare efficacy requires a combination of people and technologies to enable warriors to leverage local knowledge and seize emergent opportunities to achieve commanders' intent across distributed organizations. This requirement highlights further the critical role played by TCPED, which seeks to enable commanders and warriors at the tactical edges to put dynamic knowledge into effective action, with or without IS in development or in the field.

Additionally, unlike many stable, mature, and well-understood warfare processes, TCPED remains in a constant state of analysis, refinement, and development. Hence, it represents a rapidly moving target for IT development, and engineering-oriented metrics used to evaluate most IS fail to address how dynamic knowledge translates into effective (or ineffective) action. Moreover, with current analytical models and metrics, it remains unclear how to assess whether any particular refinement in the warfare process, new IS implementation, or like change will lead to increased TCPED efficacy or whether performance will degrade instead. This lack of clarity illuminates a capacious gap between the efficiency of IT acquisition and the warfare efficacy of IS employment at the tactical edge.

Given the dynamic nature of the TCPED process, as characterized previously, we bound the scope of this exploratory project by concentrating on a particularly important and knowledge-centric subprocess: *exploitation*. Such bounding enables us to examine, within a single exploratory study, the feasibility of our approach to measuring the dynamic knowledge and performance of this operational process performed at the tactical edges of naval organizations. Follow-on researchers can then extend these promising results via subsequent studies through the process as a whole and, in turn, to other warfare processes seeking to benefit from IT acquisition.

TCPED Exploitation

Figure 5 delineates the principal tasks comprising TCPED exploitation. In this figure, process activities are depicted as rectangular boxes connected to one another via arrows to delineate the process workflow. Each process activity is situated within a horizontal region (referred to widely as *swim lanes*) that depicts the responsibility of a particular organizational group to accomplish it. For several instances, the leftmost process activities—"Correlate, Fuse Multi-Int Info" → "Operations Environment Impact" → "Evaluate Adversary" → "Develop Adversary COA"—are shown connected together as responsibilities of the "Assessor" group; the "Develop Adversary COA" activity interrelates with "Watch Analyst Coordination," the latter of which is shown as the responsibility of the Joint Intelligence Operations Center (JIOC), and which interrelates in turn with the Joint Operations Center (JOC) activity "Watch Coordination."

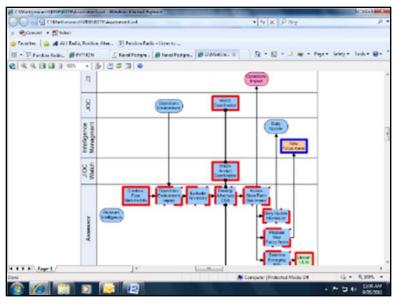


Figure 5. TCPED Exploitation Process Flow

Other instances pertain to "Assess Near-Term Ops Impact," the output of which activity provides important knowledge and information to Operations ("J3"); "Daily Update Information" and "Propose New Focus Areas," the output of which activities provide important knowledge and information to intelligence management; and "Determine Emerging I&W" and "Dissem I&W," both of which activities are performed by and are the responsibility of the assessor as well. We omit graphical depiction or discussion of the other TCPED exploitation activities because our intent is not to be exhaustive here, and these should suffice for our present purposes.

In particular, discussions with the knowledgeable people interviewed through this research indicate that the tasks labeled "Evaluate Adversary," "Develop Adversary COA," and "Assess Near-Term Ops Impact" are especially important and require considerable tacit knowledge. Recall that tacit knowledge, as powerful as it is, tends to flow relatively slowly and narrowly through organizations. This makes it particularly challenging to support via IT, and it provides an excellent focus for our exploration. Indeed, the people performing these activities must develop substantial, tacit knowledge pertaining to adversaries' capabilities, likely actions, and their consequences in terms of friendly forces and operations. Such tasks also clearly require relevant and timely information, but knowledge of the adversary is key here, and the effectiveness of these tasks can contribute greatly to—or, if ineffective, impair instead—commanders' decision-making and warriors' actions on the tactical edge.

By focusing on how dynamic knowledge flows through warfare process activities such as these, and especially by linking the activities to knowledge-based actions enabled at the tactical edge, we can examine how well knowledge is flowing and supporting tactical action. Specifically, by integrating the organizations, personnel, and activities included in the exploitation process diagrammed in Figure 5 with key dimensions from KFT, we seek to identify critical paths in the process where knowledge is flowing well and appropriately, as well as identifying blocked paths where it is not, and we strive to use our dynamic knowledge and performance metrics to help overcome any disconnects between IT acquisition and warfare efficacy.

Dynamic Knowledge Flows

Through detailed analysis, we can delineate the principal knowledge flows enabling TCPED exploitation. Taking Develop Adversary COA as an express example, the people performing this activity rely fundamentally on experience-based tacit knowledge (e.g., military tactics, adversary capabilities, organizational vulnerabilities). Although formal training courses, professional educational programs, and like approaches contribute to these knowledge flows, such knowledge is accumulated principally through direct experience (i.e., on-the-job training [OJT]), often over many years or even decades.

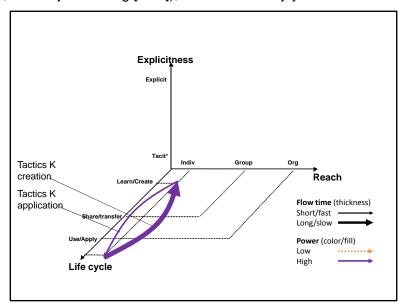


Figure 6. Military Tactics Knowledge Flows

Figure 6 delineates how military tactics knowledge, for instance, accumulates through cyclic iteration between applying one's existing tacit knowledge (labeled "Tactics K application" in the figure) and learning from the resulting experience (labeled "Tactics K creation" in the figure). We locate this cyclic knowledge flow vector at the individual level of reach, indicating that the Develop Adversary COA activity is conducted in this case by a single individual. Were multiple people to engage jointly in assessments such as this, we would simply relocate the corresponding knowledge flows to the group level, with the same basic pattern persisting.

Consistent with our previous discussion, one can observe from Figure 6 how the vector for knowledge application is relatively thin, denoting that the flow is correspondingly fast; yet this vector is delineated via a purple, solid arrow, denoting that the flow reflects powerful, tacit knowledge. That is, once tacit knowledge has been acquired over time, it can be applied relatively quickly. In partial contrast, the complementary vector for knowledge creation is comparably thick, denoting that the knowledge acquisition flow is relatively slow; yet this vector is also delineated via a purple, solid arrow, similarly denoting that the flow reflects powerful, tacit knowledge.

Continuing with the Develop Adversary COA example, the people performing this activity also rely on a situated understanding of the organization's current mission-environment context, the adversary evaluation synthesized in the preceding exploitation process step, and contemporaneous knowledge regarding both current and future operations being conducted and planned, respectively, by the organization. Knowledge flowing to enable these process activities follows somewhat different patterns than those

activities pertaining to military tactics. In particular, these latter knowledge flows involve interactions across different organizational groups, and they involve both tacit and explicit knowledge.

For instance, Figure 7 delineates three knowledge flows associated with tacit knowledge sharing and intergroup accumulation. The leftmost cyclic vector (labeled "Individual K accumulation") is comparable to that discussed previously in Figure 6, except that instead of military tactics knowledge, it pertains to the latter knowledge flows (e.g., associated with current mission-environment, adversary evaluation, and current and future operations). We continue to focus on individual knowledge accumulated by a single person—in this case, within the assessor group—but notice that we include a similar cyclic vector located at the intergroup level.

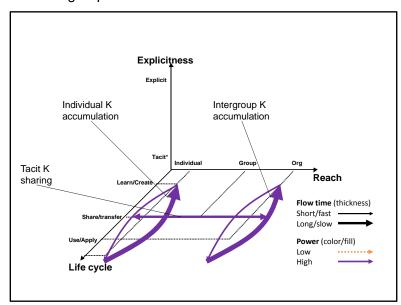


Figure 7. Tacit Knowledge Sharing and Intergroup Accumulation

This latter vector (labeled "Intergroup K accumulation") reflects tacit knowledge accumulating across different organizational groups; multiple individuals from a variety of groups work and learn from their experiences together. The intergroup vector follows the same cyclic pattern as that seen with individual OJT, only at a higher organizational level. As with individual knowledge accumulation, this intergroup accumulation is delineated by a cyclic, purple, solid vector reflecting knowledge application and creation occurring at two different rates: quickly and slowly, respectively.

A third vector (labeled "Tacit K sharing") links the other two. Such tacit knowledge sharing reflects individuals—who accumulate knowledge (especially via OJT) within their separate groups—sharing knowledge with people in other groups through conversation, dialogue, face-to-face (F2F) interaction, and like means. The two-headed arrow included with this sharing vector depicts knowledge flowing bi-directionally: individuals share knowledge across groups in the organization, and they also learn through this knowledge process.

As with the two cyclic vectors delineated and discussed previously, knowledge flows corresponding to such tacit sharing are depicted with a purple, solid vector to designate powerful tacit knowledge, and the vector is depicted with a relatively thick line to indicate that tacit knowledge flows across organizational groups tend to accumulate relatively slowly. However, we depict this sharing vector with a line that exhibits intermediate thickness; that

is, the vector is thicker than the application vector lines—suggesting that tacit knowledge application flows across groups (e.g., in a matter of days, weeks, and months) more slowly than via individual application (e.g., in a matter of minutes, hours, and days)—but thinner than the creation vectors—suggesting that such cross-group knowledge can flow more quickly than can individual accumulation of experience-based tacit knowledge (e.g., in a matter of months, years, and decades).

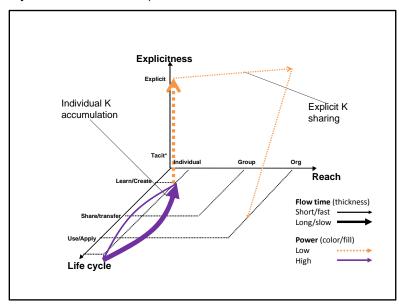


Figure 8. Explicit Organizational Knowledge Sharing

As another instance, Figure 8 delineates alternate knowledge flows associated with explicit organizational knowledge sharing. The leftmost cyclic vector (labeled "Individual K accumulation") is identical to that discussed previously in Figure 7 (e.g., cyclic, purple, solid, powerful, tacit). We continue to focus on individual knowledge accumulated by a single person—in this case, within the assessor group—but notice that we include a three-segment flow (labeled "Explicit K sharing") to depict knowledge being shared organization-wide in explicit form.

This three-segment flow begins with a vertical vector rising up out of the tacit plane, as an individual (i.e., in the assessor group) articulates his or her tacit knowledge into explicit form (e.g., via textual reports, graphical sketches, digital images). This articulation can be a time-consuming process; hence, the corresponding knowledge flow vector is depicted by a relatively thick line. In addition, we understand that such articulated, explicit knowledge does not reflect the same power level as the tacit knowledge used for its creation; hence, the corresponding knowledge flow vector is depicted by an orange, dotted line.

The second vector comprising this three segment flow begins where the first vector terminates. Once articulated in explicit form, such knowledge can be stored, replicated, and disseminated quickly and broadly via one or more IS (e.g., intranet document repositories, online sharing tools, common operational displays). This second vector in the segment is delineated by a thin line to denote fast knowledge flows, but the line remains orange and dotted to depict its diluted power. The third vector in the segment is also depicted by a thin, orange, dotted vector, which represents this same, diluted, explicit knowledge flowing via IS quickly and broadly across the organization.

Dynamic Knowledge and Performance Measurement

Through detailed analysis, we identify and operationalize three KFT metrics that appear to be particularly insightful for our present purposes: *knowledge reach* (i.e., how many people in the organization share specific chunks of knowledge), *knowledge flow time* (i.e., how long it takes chunks of tacit and explicit knowledge to flow from where and when they are to where and when they are needed), and *knowledge power* (i.e., the performance level of knowledge-enabled work). Continuing with Develop Adversary COA as an express example, we can begin to quantify the key knowledge flows delineated previously.

	•			
Knowledge Flow	Reach	Flow Time	Power	
Individual K Accumulation	1	Years	Very High	
Intergroup K Accumulation	10	Months	High	
Tacit K Sharing	10	Days	High	
Explicit K Sharing	100	Hours	Diluted	

Table 1. ROOM Knowledge Flow Measurement

For instance, Table 1 summarizes rough order of magnitude (ROOM), 3D estimates for each of the four knowledge flows delineated and discussed previously with respect to the Develop Adversary COA activity within TCPED exploitation. In this table, we approximate knowledge flow measurements only to an order of magnitude, but we begin to illustrate the use and utility of the approach, and we outline a method for obtaining higher fidelity measurements in practice.

In the first column of the table, we list each of the four knowledge flows discussed previously; and in the other three columns, we summarize ROOM estimates for knowledge reach, flow time, and power. Looking first at individual knowledge accumulation, the reach is listed as 1; this reflects our previous discussion of knowledge being accumulated iteratively at the individual level, hence unitary reach. In the table, flow time is listed in order of magnitude as "years" for comparison with the other knowledge flows; this reflects our discussion about how deep, experience-based tacit knowledge (e.g., pertaining to military tactics) can require years or decades to accumulate. Power is listed likewise in order of magnitude as "very high" for similar comparison with the other knowledge flows; this estimate is somewhat definitional, but it reflects that experience-based tacit knowledge does not suffer from power dilution, and it is meant to reflect the considerable power of tacit knowledge accumulated over long periods of time and through abundant experience.

Looking next at intergroup knowledge accumulation, rough estimates for this knowledge flow indicate that 10 people can be reached by it; this is an order of magnitude larger than that shown for individual knowledge accumulation, and it reflects knowledge flowing to multiple people across organizational groups. The flow time estimated for intergroup knowledge flows is summarized as "months," which is an order of magnitude faster than that for individual knowledge accumulation; this reflects the comparatively lower level of deep knowledge associated with intergroup knowledge and work flows, as people across groups interact principally via their present assignments—which, in this naval context, generally span less than a year. As discussed previously, the power level is listed simply as "high" to reflect that intergroup tacit knowledge (e.g., people learning to work well together across groups) does not suffer from power dilution, but it also reflects that the power level is not comparable to that associated with deep, experience-based knowledge accumulated over years of individual experience (e.g., pertaining to military tactics).

Estimates for the third knowledge flow (i.e., tacit knowledge sharing) are similar in terms of reach (10), but they reflect more than another order of magnitude reduction in flow time (i.e., "days"); this corresponds to the principle that knowledge sharing can be accomplished more quickly than the associated knowledge accumulation (Nissen, 2006b). The ("high") power level matches that for intergroup accumulation mentioned previously and for the same reasons.

In considerable contrast, the flows associated with the fourth knowledge flow (i.e., explicit knowledge sharing) are quantitatively very different. We estimate the reach at 100 in the table, but the knowledge flows are constrained only by the reach of the network infrastructure; hence, this figure could be many orders of magnitude larger (e.g., consider a report, through which everyone in a 100,000 person organization has access to the same explicit knowledge). The estimate for flow time is similar in that we list it as "hours" (e.g., principally to account for the time required to articulate knowledge in explicit form), whereas once made explicit, such knowledge can be shared in seconds.

Moreover, the power level ("diluted") for this explicit knowledge flow is qualitatively different from that for its tacit counterparts; this is also somewhat definitional, but it indicates that most people reading written documents, for example, will not be expected to perform knowledge-based activities at the same level as the people writing those documents.

System Assessment

The remaining measurement of knowledge power is linked directly to performance of the work activities enabled by such knowledge. In the case of Develop Adversary COA, to continue our previous example, we could approach such measurement via multiple operationalizations. For several instances, we could track how much time is required to develop a set of adversary COAs sufficiently well for inclusion in a morning flag brief (i.e., appropriate for presentation to a flag officer); using the same flag brief criterion, we could count how many sufficiently credible adversary COAs are developed within a set time frame (e.g., one day, week, or month); we could ask the flag officer and staff in question (including the Chief of Staff and other directly reporting officers) to evaluate the quality of each adversary COA presented (based on criteria of importance to them); or we could pursue the development of other, likewise understandable and relevant performance measures. Any such performance measure can serve as a quantitative (and possibly multidimensional) proxy for knowledge power.

With one or more such measures in hand, we could then establish a baseline—comprised of quantitative measurements for *reach, flow time,* and *knowledge power/performance*—for the organization as it operates as usual. To evaluate some particular IS, we could simply compare this baseline with measurements taken as the organization uses the IS under controlled, or at least comparable, conditions. For instance, say that we wish to test a prototype IS designed to improve tacit knowledge sharing through introduction of social media techniques; we could measure the knowledge flows both with and without such IS to assess its impacts.

Specifically, using one or more proxy measures as suggested previously (e.g., time required to develop a set of adversary COAs for a flag brief, how many adversary COAs are developed, flag officer quality evaluation, others), we could conduct an experiment in the laboratory or in the "field" (e.g., on deployed ships at sea) and measure knowledge and performance directly. As an experiment to compare performance with and without the prototype IS, for instance, we would ideally like to see the same people, performing the same tasks, in the same environments and settings, at the same times of day, seasons of year, weather conditions, sea states, and other factors to isolate use of that IS as the only

difference. In other words, one set of dynamic knowledge measurements would be taken for performance in the baseline situation; a second set of measurements would be taken for performance with a prototype IS; and, ideally (e.g., with good experiment design and techniques), the difference would represent solely the effect of that IS.

With these measurements in hand, the difference in task performance becomes an operational measure of IS efficacy; that is, if the only difference between experiment cases is whether the prototype IS used or not, and task performance is measurably better or worse in one case or the other, then we have a knowledge-based assessment of how well such IS improves (or worsens) work performance at the tactical edge of the organization (e.g., TCPED exploitation). Moreover, in addition to using traditional, engineering-oriented performance measures (e.g., bandwidth, technical reliability, memory), this assessment can be employed to evaluate the IS operationally—and under controlled conditions—not just technically. The potential is huge.

Further, given sufficient experience with conducting experiments along these lines, this approach can even be used to specify new IT and other systems to be acquired; that is, in conjunction with using only engineering measures of IS performance, for instance, the acquisition organization can specify *improvement in operational task performance* as a key criterion for evaluation. This way, acquisition personnel can conduct efficient system acquisitions, and warriors on the tactical edges of organizations can use systems that improve their work performance. We bridge the gap between acquirer and warrior, and everybody wins.

Illustrative Example

In this section, we include an illustrative example of application to a hypothetical IT system competition. We use only representative values for illustration here, but the approach and associated techniques can be applied directly to system competitions in the field. For continuity, we continue with the Develop Adversary COA task discussed previously, and we build upon the rough knowledge flows and measurements reported previously.

Table 2.	Baseline Knowledge Flow Measurement
Table 2.	Baseline Knowledge Flow Medsarement

Knowledge Flow	Reach	Flow Time	Power	X-Power
Tacit K Sharing	10	20 Hours	95%	9.5
Explicit K Sharing	100	2 Hours	5%	5.0

Table 2 recapitulates the most relevant measurements reported in Table 1 for what we term the baseline, representing the Develop Adversary COA task as it is performed today (i.e., sans new IS); that is, the baseline measurements are used for comparison with this same task performed with the support of two competing IS prototypes: (1) a social media application designed to improve tacit knowledge sharing, versus (2) a document collaboration application designed to improve explicit knowledge sharing.

Notice in Table 2 that we limit our summary to the pair of knowledge flows associated directly with the alternate IS: tacit knowledge sharing (addressed by IS-1) and explicit knowledge sharing (addressed by IS-2). Recall, from our discussion above, that the knowledge flow corresponding to tacit knowledge sharing reflects individuals—who accumulate knowledge (especially via OJT) within their separate groups—sharing knowledge with people in other groups through conversation, dialogue, F2F interaction, and like means. The central idea of IS-1 is to enable such knowledge sharing remotely; that is,

the IS intends to enable and promote tacit knowledge sharing without the need for (as much) F2F interaction.

Recall, further from our discussion above, that the knowledge flow corresponding to explicit knowledge sharing reflects organizational artifacts (e.g., textual reports, graphical sketches, digital images, and like media) that are stored, replicated, and disseminated quickly and broadly via intranet document repositories, online sharing applications, common operational displays, and like tools. The central idea of IS-2 is to enable recipients of assessor reports (e.g., in the JIOC and JOC groups) to interact with assessors during report development; that is, the IS intends to enhance and accelerate explicit knowledge sharing by providing recipients with access to assessor draft reports and to enable communication before finished reports are released officially.

Notice also that we replace the ROOM estimates from Table 1 with quantitative values. For instance, the "days" flow time estimate from above for the tacit knowledge sharing flow reads as "20 hours" in Table 2. Based on observation and discussion, roughly 20 hours are required for key tacit knowledge to complete its flows. Further, the "high" power estimate from above reads as "95%" here. As such, 10 different people outside the assessor group (e.g., in the JIOC and JOC) are able to explain the details of each adversary COA from memory with 95% accuracy on average; the other way to look at this is that 19 of 20 people can explain the details with 100% accuracy.

Similarly, the "hours" flow time estimate from above for the explicit knowledge sharing flow reads as "2 hours" here. This indicates that roughly two hours are required for a high-quality and credible adversary COA to be articulated, shared with, and understood by recipients. Further, the "diluted" power estimate from above reads as 5% here. As such, 100 different people outside the assessor group (e.g., in the JIOC and JOC) are able to explain the details of each adversary COA from memory with 5% accuracy on average; the other way to look at this is that five of 100 people can explain the details with 100% accuracy.

Notice, finally, that we include a fifth column in Table 2 (labeled "X-Power") to represent the induced dimension *extended knowledge power*. Extended knowledge power is calculated as the product of knowledge reach and power levels; it reflects the combined distribution and efficacy of knowledge flows. For instance, the extended knowledge power for the tacit knowledge sharing flow is shown in Table 2 as 9.5 (i.e., reach of 10 [times] power of .95 = x-power of 9.5), whereas the value calculated for explicit knowledge sharing flow is shown as 5.0 (i.e., reach of 100 [times] power of .05 = x-power of 5.0).

This respective induction and quantification of the extended knowledge power dimension and measure provide us with a technique for comparing the efficacy of tacit and explicit knowledge flows directly, despite the significant differences between their dynamic characteristics and behaviors (e.g., quick, broad, diluted explicit flows versus slow, narrow, powerful tacit flows). Clearly, higher values are preferred over lower ones, but organizations face trade-offs regarding whether to emphasize explicit or tacit knowledge flows.

Table 3. Information Systems Supported Knowledge Flow Measurement

Knowledge Flow	Reach	Flow Time	Power	X-Power
Tacit K Sharing (IS-1)	20	20 Hours	75%	15.0
Explicit K Sharing (IS-2)	20	2 Hours	10%	2.0

For further illustration, Table 3 summarizes these same knowledge flow measurements—for the same people, organizations, tasks, and time frames—after the



prototype IS have been implemented and trained with. This point is important; one cannot expect a new IS to be used effectively and productively before its users have been trained adequately. (It is humorous, nonetheless, how often one sees comparisons made without adequate training, particularly in field experiments.)

In the case of tacit knowledge sharing supported by IS-1, say that the social media application enables twice as many people to participate in the conversations (i.e., reach extends to 20) within the same 20-hour time frame (e.g., by obviating the need for collocation), but the power level decreases to 75% (e.g., due to losses via mobile social media applications). Despite the drop in power, the extended reach would more than make up for the loss, because of the extended power increase to 15.0. Alternatively, in the case of explicit knowledge sharing supported by IS-2, say that the document-sharing application reduces to 20 the number of people who can participate effectively within the same two-hour time frame (e.g., due to interference by multiple people interacting with the same documents), yet the power level of those who do participate increases to 10% (e.g., stemming from increased textual interaction across organizational groups). Despite the increase in power, the reduced reach would more than offset the gain because of the extended power decrease to 2.0.

Knowledge FlowBaseline
(X-Power)IS Enabled
(X-Power)Difference
(X-Power)Difference
(Y-Power)Tacit K Sharing9.515.0+ 5.5+ 58%

2.0

- 3.0

- 60%

Table 4. Comparative Knowledge Flow Measurement

In Table 4, we summarize the comparative results via four measurements. First, the Baseline X-Power contrast between the tacit and explicit knowledge sharing processes reflects our result from Table 2 (i.e., 9.5 versus 5.0, respectively). Second, the IS Enabled X-Power contrast between these same processes reflects similarly our result from Table 3 (i.e., 15.0 versus 2.0, respectively). Third, the Difference X-Power contrast measures the effect of incorporating the two IS. For instance, using IS-1 to support tacit knowledge sharing increases extended knowledge power by 5.5 (i.e., 15.0 - 9.5 = +5.5) for a 58% gain. In contrast, using IS-2 to support explicit knowledge sharing decreases extended power by 3.0 (i.e., 2.0 - 5.0 = -3.0) for a 60% loss.

Recall that the knowledge power measurement relates directly to organizational performance at the tactical edge, on the Develop Adversary COA task in this illustrative case. In addition to providing an objective and quantitative approach to assessing the potential value (or harm) of an IS of interest, the technique described in this report also suggests a way to specify performance requirements for candidate IS of interest.

Consider, for instance, if—in addition to whatever engineering specifications are desirable or customary—the specification read along the lines of, "the IS must demonstrate at least a 25% increase in X-Power measured during a fleet experimentation exercise." This specification would arguably place considerable contractor emphasis on improving knowledge flow and work performance of users at the tactical edges of the warfare organizations targeted for the acquisition and implementation of their IS. It would also appear likely to help bridge the gap between acquisition efficiency and warfare efficacy.

Building on these results, one can now apply the approach described in this report to any number of IS acquisitions and use *end customer performance* as an objective measure



Explicit K Sharing

5.0

of IS efficacy. This application will require some venue for (at least partially controlled) experimentation (e.g., in the laboratory, via field experiments, phased or blocked implementation), but the potential benefit is huge. Moreover, in addition to using dynamic knowledge and process measurement as illustrated here for evaluation, one can leverage the same set of measures to specify IS in the conceptualization, design, and development phases. Essentially, *end customer performance* becomes an objective design consideration through this revolutionary approach.

In terms of measuring AWF quality, this research establishes stronger and more direct linkages between what acquisition personnel know (especially focused internally on acquisition organizations and processes) and what warriors on the tactical edges of organizations need (especially IS that improve warfare efficacy), and it provides a set of dynamic knowledge and performance measures that can be used to bridge the gap between acquisition efficiency and warfare efficacy. This measurement step alone offers potential to improve the effectiveness of those acquisition people and organizations that implement the approach described in this report; hence, one new measure of AWF quality emerges directly: use of dynamic knowledge and process measures to assess end customer efficacy. In other words, the working hypothesis is that those acquisition people and organizations that use this approach will be more effective than those that do not; hence, simply assessing the extent to which this approach is used may become an important, complementary measure of AWF quality.

Further, results from this research suggest that personnel in the AWF may benefit from increased understanding of the end customers for whom they acquire information and other systems. The acquisition system as a whole provides program offices, liaisons, needs determination and justification steps, milestone and oversight authorities, operational testing and evaluation, and myriad other steps seeking to represent end customers. Nonetheless, there may be no substitute for acquisition personnel who understand their customers in considerable detail.

These results do not suggest that procurement clerks should be outfitted with helmets, rifles, and boots and then sent to the tactical edges of warfare organizations, or that warriors on such tactical edges should be given procurement assignments; rather, it suggests that by examining the key warfare processes performed at the tactical edges—and in particular, understanding the most important dynamic knowledge and performance characteristics of such processes—even procurement clerks in offices half a world away may gain important insight into their end customers—insight that may lead to improved workforce quality and that can be measured.

References

- Assistant Secretary of the Navy for Research, Development, and Acquisition (ASN[RDA]). (2011a). Analysis of USD(AT&L) Better-Buying Power (BPP) initiatives. Washington, DC: Author.
- Assistant Secretary of the Navy for Research, Development, and Acquisition (ASN[RDA]). (2011b). How to measure the quality of the acquisition workforce. Washington, DC: Author.
- Benbasat, I., Goldstein, D. K., & Mead, M. (1987). The case research strategy in studies of information systems. *MIS Quarterly*, *11*(3), 368–386.
- Dierickx, I., & Cool, K. (1989). Asset stock accumulation and sustainability of competitive advantage. *Management Science*, *35*(12), 1504–1511.
- Dillard, J. T. (2003). Centralized control of defense acquisition programs: A comparative review of the framework from 1987–2003 (NPS-GSBPP-03-003). Monterey, CA: Naval Postgraduate School.



- Dillard, J. T., & Nissen, M. E. (2005, May). Determining the best loci of knowledge, responsibilities and decision rights in major acquisition organizations. In *Proceedings of the Second Annual Acquisition Research Symposium*. Monterey, CA: Naval Postgraduate School.
- Eisenhardt, K., & Graebner, M. (2007, February). Theory building from cases: Opportunities and challenges. *Academy of Management Journal*, *50*(1), 25–32.
- Ibrahim, R., & Nissen, M. E. (2007). Discontinuity in organizations: Developing a knowledge-based organizational performance model for discontinuous membership. *International Journal of Knowledge Management*, *3*(1), 18–36.
- Keeter, H. C. (2004, July). Precision strike weapon advances create mythology of infallibility. Seapower Magazine. Retrieved from http://www.navyleague.org/sea_power/jul_04_19.php
- Nissen, M. E. (2002). An extended model of knowledge-flow dynamics. *Communications of the Association for Information Systems*, *8*, 251–266.
- Nissen, M. E. (2006a). Dynamic knowledge patterns to inform design: A field study of knowledge stocks and flows in an extreme organization. *Journal of Management Information Systems*, 22(3), 225–263.
- Nissen, M. E. (2006b). *Harnessing knowledge dynamics: Principled organizational knowing & learning*. Hershey, PA: IRM Press.
- Nissen, M. E. (2012a). IS4210—Knowledge superiority [Capstone Course for the Curriculum 277— Knowledge Superiority Academic Certificate Program, Graduate School of Operational and Information Sciences]. Monterey, CA: Naval Postgraduate School.
- Nissen, M. E. (2012b). *Toward an operational proxy for acquisition workforce qualify* (Technical report No. NPS-AM-12-206). Monterey, CA: Naval Postgraduate School.
- Nissen, M. E., & Gallup, S. P. (2012). Knowledge to the tactical edge: Enhancing the TCPED process through new metrics to inform ConOps development and IT system acquisition. Independent Research & Development Presentation Series. Monterey, CA: Naval Postgraduate School.
- Nonaka, I. (1994). A dynamic theory of organizational knowledge creation. *Organization Science*, *5*(1), 14–37.
- Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (OUSD[AT&L]). (2010, November). *Implementation directive for better buying power: Obtaining greater efficiency and productivity in defense spending* [Memorandum]. Washington, DC: Author.
- Pfeffer, J., & Sutton, R. I. (1999). Knowing "what" to do is not enough: Turning knowledge into action. *California Management Review*, *42*(1), 83–108.
- Saviotti, P. P. (1998). On the dynamics of appropriability, of tacit and of codified knowledge. *Research Policy*, 26(7/8), 843–856.
- Snider, K. F., & Nissen, M. E. (2003). Beyond the body of knowledge: A knowledge-flow approach to project management theory and practice. *Project Management Journal*, *34*(2), 4–12.
- Yin, R. K. (1994). Case study research: Design and methods (2nd ed.). Thousand Oaks, CA: Sage.



ACQUISITION RESEARCH PROGRAM GRADUATE SCHOOL OF BUSINESS & PUBLIC POLICY NAVAL POSTGRADUATE SCHOOL 555 DYER ROAD, INGERSOLL HALL MONTEREY, CA 93943